

## US Equities Active Traders Campaign

Promotion Period: 1 October 2024 - 31 December 2024

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**WIN A TRIP TO OSAKA**

Join our **US Equities Active Traders Campaign** and get rewarded for your online trading activity.

**Tier 1**  
MAS Economy Class to Osaka for 2 pax

**Tier 2**  
Samsung Galaxy A55 5G

**Tier 3**  
Selected Unit Trust worth RM800

**Tier 4**  
Harvey Norman Gift Card worth RM400

**Tier 5**  
Harvey Norman Gift Card worth RM200

**Tier 6**  
3 Months Level 1 US Real-Time Data

Terms and conditions apply.

### Terms and Conditions

- 1) The UOB Kay Hian ("UOBKH") **US Equities Active Traders Campaign** ("Campaign") is applicable only to clients trading online with UOBKH.
- 2) The Promotion Period for this Campaign is from **1 October 2024 to 31 December 2024** (both dates inclusive).
- 3) **Only online US trades executed via the UTRADE Online Platforms** (Web or Mobile App) are eligible.
- 4) The eligible account types are **retail individual account** and **retail corporate accounts**.
- 5) Institutional clients, UOBKH dealer representatives and staff accounts are not eligible for this Campaign.
- 6) Under this Campaign:

- a) Participation is automatic, no forms to be filled up.
  - b) A client will be eligible for one (1) tier prize only based on the qualifying total cumulative gross brokerage (“Total Brokerage Paid”) paid during the Campaign Period (“Winner”).
  - c) The Total Brokerage paid is only based on US equity trades fulfilled and fully settled during the Campaign Period.
    - If a client’s total brokerage paid meets the respective tier prize listed in the table in clause 8 below, he/she will receive that tier prize only.
    - Example: If Client A paid a total of USD 59,000 brokerage during the Campaign Period, he/she will receive one Samsung A55 5G mobile phone or equivalent as stated in this Terms and Conditions.
- 7) Client’s total gross brokerage paid will be calculated at the end of the Campaign, or such other date(s) and time(s) as determined by UOBKH. Gross brokerage shall be defined as excluding foreign fees payable (FFPs), levies and taxes, other applicable foreign exchange and clearing charges. Determination of the qualifying gross brokerage paid shall be at the sole discretion of UOBKH.
- 8) The prizes for this Campaign are as follows:

Tier	Tier Prizes	Qualifying Total Brokerage Paid
1	MAS Economy Class Tickets to Osaka for 2 pax <sup>^</sup>	USD 60,000 and above
2	Samsung Galaxy A55 5G <sup>^</sup>	USD 40,000 to USD 59,999.99
3	Selected Unit Trusts worth RM800 <sup>^^</sup>	USD 20,000 to USD 39,999.99
4	Harvey Norman Gift Card RM400	USD 10,000 to USD 19,999.99
5	Harvey Norman Gift Card RM200	USD 6,000 to USD 9,999.99
6	3 Months Live Market Data for 1 US Exchange of choice <sup>^^^</sup>	Min USD 100

Remarks:

**<sup>^</sup> MAS Economy Class Tickets for 2 to Osaka**

- Seats are subject to availability at the time of booking.
- Prize must be used by the winner and travelling (“winners”) on the same flight and date.
- All travel must be completed between 1 Jan 2025 and 31 Dec 2025. No extension of validity will be allowed.
- Travel dates are subject to avoiding travel on public holidays, peak seasons and/or blackout dates.
- Except as otherwise provided, the winners are responsible for any and all costs, expenses and taxes not expressly described herein including, without limitation, ground transportation, accommodation, gratuities, merchandise, telephone calls, personal expenses of any nature for overnight layover, meals and beverages, service charges and

entertainment.

- The winners will be responsible for their own travel insurance. It is the responsibility of the winners to ensure they have a valid passport each and obtain any necessary vaccination or visas for their trip.
- Tier prize tickets are not entitled to any upgrades, access to Golden Lounge and Excess Baggage. Mileage accrual is not available for this complimentary ticket.
- Once tickets are issued, they are non-changeable, non-refundable, or upgradeable.
- Once booked, the origin and destination, flight date and time of travel cannot be changed.
- Flights are subject to Malaysia Airlines' terms and conditions of carriage which can be found at [www.malaysiaairlines.com](http://www.malaysiaairlines.com)
- Other restrictions may apply.

#### ^^ **Samsung Galaxy A55 5G**

- Subject to availability.
- UOBKH has the right to change the tier prize with item of similar values.

#### ^^^ **Unit Trust**

- Winners will be required to open a UWEALTH account in order to receive this prize. UWEALTH account terms and conditions apply.
- The Unit Trust will be credited into the respective UWEALTH account with UOBKH.

#### ^^^^ **US Live Market Data**

- Subscription is subject to US Non-Pro KYC check.
- For retail corporate clients that are not eligible to receive the US Live Market Data being Professional users, UOBKH shall replace with Bursa Market Depth subscription.

9) UOBKH will inform the Winners via email using the current contact details in its records and such notification shall be effective and deemed to have been received by the Winners.

10) Winners will be notified **within 30 working days** from the end date of the Campaign.

11) All prizes are non-transferable and may not be exchanged in any format.

12) Winners will be required to self-collect the prizes at **UOB Kay Hian, Ground Floor, Menara Keck Seng, 203, Jalan Bukit Bintang, 55100, Kuala Lumpur**. There will be no delivery of the prizes to the winners.

13) In special situations, and subject to the absolute discretion of UOBKH, the winners may nominate a designated representative to collect the prizes at the above stated address. The winners shall sign an authorization form and attach a copy of identification card of both the representative and the winners. No responsibility will be accepted by UOBKH for this mode of collection.

- 14) If the prizes are not collected by **15 March 2025**, the winners will be considered as forfeiting the prize, and the final disposition of the prize will be at the discretion of UOBKH.
- 15) By receiving the prize, each winner agrees to indemnify and keep UOBKH and all its staff indemnified at all times against any claims, demands, actions, suits, proceedings, loss and expenses (including legal costs on a full indemnity basis) that may arise in any manner howsoever from or in relation to the usage, quality, or any other aspect associated with the prizes. UOBKH makes no representation or warranty with respect to the prizes, including the services, merchantability, fitness for a particular purpose or that the prize will be available. In addition, all prizes are provided "as-is". UOB Kay Hian is not responsible for any products or services provided by the prize merchant platform, including product sales or after-sales. Please contact the merchant directly for enquiries.
- 16) UOBKH may, in its sole and absolute discretion and without prior notice, replace, change or substitute any prizes with another of similar value.
- 17) UOBKH may, in its sole and absolute discretion and without prior notice, change any of these Terms and Conditions including terminating or suspending this Campaign or extending the Promotion Period. Any such changes will be announced on our website or official Facebook, Instagram and Telegram page.
- 18) By participating in this Campaign, winners agree to UOBKH's privacy policy (<https://www.utrade.com.my/public/privacypolicy>), have their photos taken with the presented prize, have their name, photo, and information used and published on UOBKH website and/or social media for marketing purposes.
- 19) Clients will be disqualified from the Campaign if their accounts with UOBKH are closed on or before **31 December 2024**.
- 20) This Campaign cannot be combined with existing UTRADE Rewards benefit. If winners choose to receive a tier prize from this Campaign, your reward points earned on US trades during the Promotion Period will be deducted.
- 21) Redemption of any Brokerage Reward Points during the Promotion Period will result in automatic disqualification from this Campaign. Prevailing terms and conditions on UTRADE Rewards apply.
- 22) Clients who enjoy special rates are not entitled to participate in this promotion. Please enquire with your Dealer's Representative or Remisier.
- 23) UOBKH reserves the right, in its absolute discretion, to disqualify/reject the client from this Campaign for any reason whatsoever, including upon the occurrence of the following:
  - a) The client failed to pay UOBKH for his/her executed trade(s) and/or has outstanding payment to UOBKH during the Campaign Period.
  - b) The client is deemed by UOBKH as not in good standing. If the client's account has been suspended temporarily or terminated by UOBKH due to any reason, the client will be disqualified from this Campaign.

- 24) UOBKH reserves the right to suspend, withdraw or terminate this Campaign at any time for any reason whatsoever and without notice. UOBKH shall not be liable to any person for any loss or damage howsoever arising in connection with the Campaign.
- 25) All participants in the Campaign expressly and irrevocably permit and authorise UOBKH to disclose, reveal and divulge information regarding their particulars to the parties involved in organising and/or facilitating the Campaign. Further, UOBKH reserves the right to reveal clients' details, to the relevant authorities if it is requested to do so.
- 26) UOBKH reserves the right in its absolute discretion to add to, delete, vary, modify and/or supplement any one or more of these Terms and Conditions, at any time without prior notice, in such manner as UOBKH shall deem fit, including without limitation, the eligibility of any customer, product and market, the qualifying criteria and the Campaign period, without assigning any reasons therefore and without any compensation whatsoever or being liable for any claims, costs, expenses, losses or damages suffered by any person as a result of the aforementioned matters or arising from these Terms and Conditions.
- 27) The decision of UOBKH on all matters relating to the Campaign and these Terms and Conditions shall be final, conclusive and binding on all UOBKH clients who participate, including, without limitation, any decision on the eligibility of any person or cancellation or suspension of the Campaign and no correspondence or appeal will be entertained.
- 28) In the event of any inconsistency between these Terms and Conditions and any marketing material relating to this Campaign, these Terms and Conditions will prevail.
- 29) In the event of any unforeseen matters arising that are not covered in these Terms and Conditions, they will be determined solely by UOBKH.
- 30) UOBKH's records, statements and confirmations of all matters relating to the Campaign are conclusive and binding on the clients. However, UOBKH is entitled, for any reason and at any time, without liability or prior notice, to suspend the calculation and/or to rectify any error in calculation or any error on any record, statement or confirmation, or otherwise to adjust such calculation and to demand immediate return or repayment from the client of the prize erroneously paid over to the client as a result of such error.
- 31) These Terms and Conditions shall be governed by the laws of Malaysia. UOBKH clients participating in the Campaign will irrevocably submit to the non-exclusive jurisdiction of the courts of Malaysia.
- 32) The above information has not been reviewed by the SC and is subject to the relevant warning, disclaimer, qualification or terms and conditions stated herein.

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